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Ferroelectric control of a Mott insulator

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The electric field control of functional properties is an important goal in oxide-based electronics. To endow devices with memory, ferroelectric gating is interesting, but usually weak compared to volatile electrolyte gating. Here, we report a very large ferroelectric field-effect in perovskite heterostructures combining the Mott insulator CaMnO_3 and the ferroelectric BiFeO_3 in its “supertetragonal” phase. Upon polarization reversal of the BiFeO_3 gate, the CaMnO_3 channel resistance shows a fourfold variation around room temperature, and a tenfold change at ~ 200 K. This is accompanied by a carrier density modulation exceeding one order of magnitude. We have analyzed the results for various CaMnO_3 thicknesses and explain them by the electrostatic doping of the CaMnO_3 layer and the presence of a fixed dipole at the $\text{CaMnO}_3/\text{BiFeO}_3$ interface. Our results suggest the relevance of ferroelectric gates to control orbital- or spin-ordered phases, ubiquitous in Mott systems, and pave the way toward efficient Mott-tronics devices.

The “Mott transistor”, based on the electrostatic carrier-doping of a Mott insulator, has attracted considerable interests as an alternative to the silicon-based field effect transistor (FET), which inevitably confronts a scaling limit¹. However, a strong modulation of the carrier density is necessary to cause a phase transition in Mott insulators belonging to the strongly correlated oxides family². Although electrolyte-gated FETs satisfy this requirement³, they remain impractical for applications. Ferroelectric gating is a promising alternative for solid-state devices⁴, and presents two major advantages: the response time of ferroelectrics is short (in the ns scale or faster), and the induced effects are nonvolatile^{5–11}. One of the noteworthy progresses in ferroelectric FETs is the electrical control of functionalities such as superconductivity^{5–7} or ferromagnetism⁸, which occur in correlated oxides. However, less attention has been paid to the potential of ferroelectric field-effects for nonvolatile resistance-switching devices^{9–12}.

Most of the previous studies on ferroelectric FETs used as channel material oxide perovskites that originally possessed a high carrier density and therefore a high conductivity^{9–11}. However, considering that the FET device we pursue exploits the doping-dependence of the resistivity, CaMnO_3 (CMO) is a better suited channel material. This is because CMO is a Mott insulator that can become metallic upon slight electron-doping, as demonstrated in electrolyte-gating and chemical substitution experiments¹³. For example, as shown in Fig. 1a, in $\text{Ca}_{1-x}\text{Ce}_x\text{MnO}_3$ (CCMO) 90 unit-cell-thick films a Ce^{4+} concentration as small as $x = 0.04$ suffices to induce a metallic character due to electron-doping (Fig. 1b shows a different behavior for thinner films, on which we will come back later).

Another crucial factor for the device performance is the magnitude of the spontaneous polarization in the ferroelectric, and the nature of the structural defects at the interface. Here, we chose “supertetragonal” BiFeO_3 (*T*-BFO) as the ferroelectric gate material. *T*-BFO is a polytype of well-known rhombohedral BFO (*R*-BFO), which attracts increasing interests due to its huge polarization (possibly $> 100 \mu\text{C}/\text{cm}^2$) at room temperature^{14–16}. Furthermore, owing to a giant tetragonal distortion [$a = 0.377$ nm, $c = 0.465$ nm (ref.15)], *T*-BFO is almost lattice-matched to CMO having small pseudo-cubic lattice constants ($a_{\text{cubic}} = 0.373$ nm).

On a basis of the above considerations, we fabricated bi-layers composed of CMO and *T*-BFO, and explored a ferroelectric field effect in these heterostructures.

Results

Assessments of heterostructures. The *T*-BFO/CMO heterostructures with 38 nm-thick *T*-BFO and various CMO layer thicknesses [6–40 unit cells (u.c.)] were fabricated onto a YAlO_3 (001) substrate (YAO, $a_{\text{cubic}} = 0.371$ nm). To reduce leakage, 5% of the Fe in the BFO target was substituted by Mn¹⁷. Due to the moderate compressive strain,

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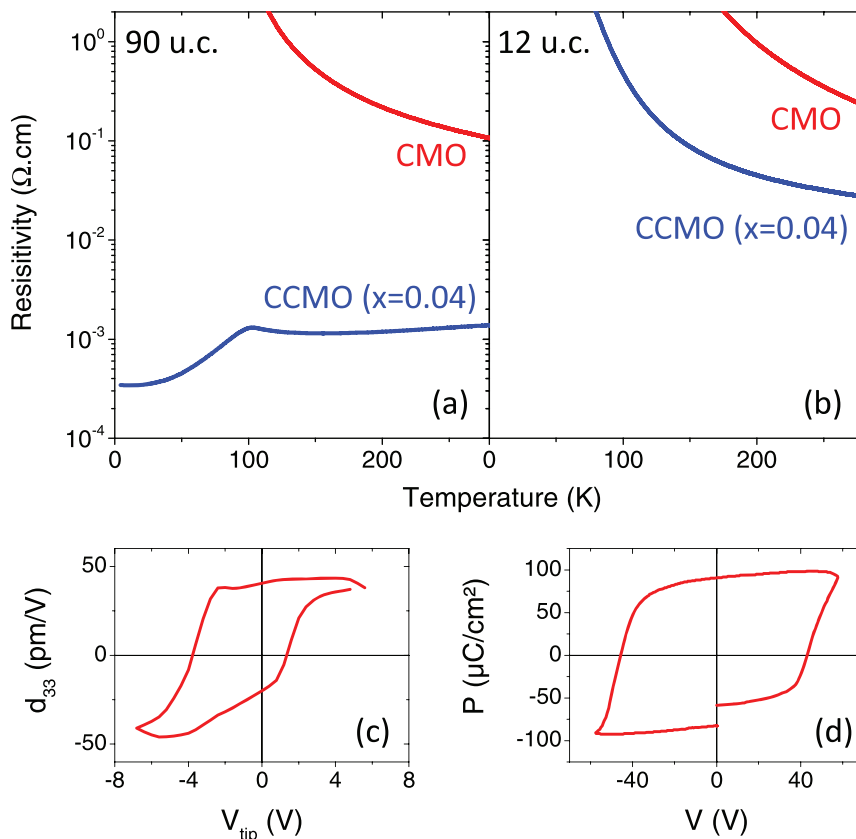


Figure 1 | Electronic properties of CMO and T-BFO. (a, b) Resistivity versus temperature for CaMnO_3 (CMO, red lines) and $\text{Ca}_{0.96}\text{Ce}_{0.04}\text{MnO}_3$ [CCMO($x = 0.04$), blue lines] films grown on a YAlO_3 (YAO) substrate. Thicknesses are (a) 90 unit cells (u.c.) and (b) 12 u.c. (c) Piezoelectric response vs. applied d.c. voltage on AFM tip for the T-BFO/CMO heterostructure evaluated at room temperature. (d) Polarization versus applied voltage measured at the temperature of 77 K and frequency of 5 kHz for the 120 nm thick T-BFO film with ITO and CCMO($x = 0.04$) films as top and bottom electrodes, respectively.

very low electron-doping drives the CMO films into the metallic phase¹³, as evidenced by the properties of CCMO ($x = 0.04$) films grown on YAO (Fig. 1a). As displayed in Fig. 2e, a typical X-ray 2θ - ω scan indicates the growth of pure T-BFO with a large out-of-plane lattice constant (0.467 nm), without traces of R-BFO.

The characterization of the ferroelectric response of 38 nm thick T-BFO films by standard polarization vs. voltage (P - V) measurements proved very challenging due to the significant leakage of this compound. We thus resorted to piezoresponse force microscopy (PFM). This technique is less sensitive to leakage and is based on the mechanical detection of the converse piezoelectric effect that is coupled to ferroelectricity. As visible on the piezoelectric loop of Fig. 1c the piezoelectric d_{33} coefficient is about 30 pm/V, exhibiting sign reversals when the applied voltage on the tip is smaller than -4 V and larger than $+2$ V.

On thicker (120 nm) T-BFO films [with CCMO ($x = 0.04$) bottom electrode and ex-situ grown Sn-doped In_2O_3 (ITO) top electrode pads], we succeeded to measure P - V loops. At room temperature the sample exhibited clear displacement current peaks as a result of polarization reversal, although the significant amount of electric leakage made it difficult to determine P accurately. However, a low-temperature measurement at 77 K clearly confirms the ferroelectric P - V hysteresis, presented in Fig. 1d. Thus, we can claim that the T-BFO in the present study possesses a remanent ferroelectric P of about $90 \mu\text{C}/\text{cm}^2$, which is larger than typical values obtained for (001)-oriented R-type BFO, and consistent with Ref. 16.

The structural details of T-BFO/CMO bilayers were further characterized by scanning transmission electron microscopy and electron energy loss spectroscopy (EELS). Fig. 2a shows a high-angle annular dark field (HAADF) image where the contrast mainly arises from

atoms with high atomic number (Z contrast). The bright spots on the left-hand side correspond to Bi atoms in the 8 unit-cell thick T-BFO film. The interface with the CMO layer is clearly defined. Below, chemical maps for Fe, Ca and Mn $L_{3,2}$ edges are presented (Fig. 2b–d). Fe-rich planes are well identified in the BFO film, and individual atomic columns are resolved for Ca and Mn in the CMO layer. Fig. 2f shows intensity profiles across Fig. 2a–d, averaged over the rectangular area indicated in Fig. 2a. They allow the identification of the preferential stacking sequence across the CMO/BFO interface as $-\text{MnO}_2\text{-CaO-FeO}_2\text{-BiO}-$ (is with an A-site electrode termination in the nomenclature of Ref. 18). Because in CMO Mn is $4+$ and Ca $2+$, while in BFO both Bi and Fe are $3+$, this corresponds to a sheet charge density sequence $0/0/-1e/+1e$. This results in a fixed interface dipole pointing toward CMO¹⁸. This dipole induces a preference for a downward ferroelectric polarization state, as found experimentally (see the out-of-plane PFM image of Fig. 3b). The shifts V_{int} toward negative voltage of the d_{33} loops ($V_{\text{int}} \approx -1.2$ V) and $P(E)$ loop ($V_{\text{int}} \approx -2$ V) of Fig. 1c and 1d are consistent with this scenario.

For the FET studies, T-BFO/CMO bilayers were patterned into measurement bridges (see Fig. 3a). In order to reverse the polarization of BFO and pole it “upward” (P_{up}) in the channel region between the two voltage legs (V^+ , V^- see Fig. 3b), we applied -8 V while scanning that area. The reversed P is evidenced by a clear phase contrast of 180 degrees in the PFM image, as shown in Fig. 3c, which remains unchanged for at least four weeks. Before and after the poling and PFM reading sequence, we measured the channel resistance underneath the poled region.

Ferroelectric field effect. Figure 4 shows resistivity vs. temperature data for CMO channels with $t_{\text{CMO}} = 6, 10, 20$ and 40 u.c. The

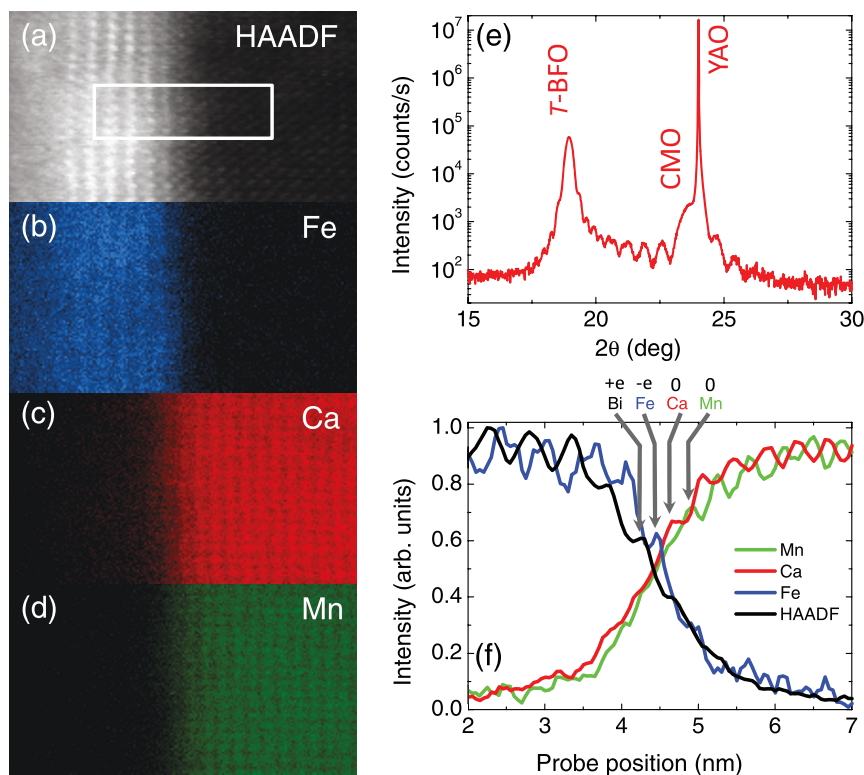


Figure 2 | Structure properties of T-BFO/CMO heterostructures. (a) High-angle annular dark field image near a T-BFO/CMO interface. (b–d) EELS maps of Fe, Ca and Mn $L_{3,2}$ edges. (e) X-ray 2θ - ω scan of a heterostructure consisting of a 38 nm-thick supertetragonal BiFeO_3 (T-BFO) and a 40 u.c. CMO layers, grown on YAO. The diffraction peaks are identified as (001) reflections (in pseudocubic setting) of each component. (f) Vertically averaged profiles of the HAADF, Mn, Ca and Fe EELS signals over the rectangular area in (a).

measurements demonstrate a clear correlation between the resistance state and the remanent P in T-BFO: regardless of temperature, the resistivity after poling into P_{up} (R_{OFF}) state is higher than the resistivity in the virgin state P_{down} (R_{ON}) [hereafter, we refer to the high- and low-resistance states as “OFF” and “ON” states, respectively]. The lower resistivity in P_{down} is consistent with electrostatic electron doping into the channel and the n -type conduction in CMO. With positive dc voltage (+8 V), the OFF state with P_{up} and R_{OFF} were switched back to “ON” with P_{down} and R_{ON} (Figs. 4b,c), illustrating

the reversibility of the field effect. The sheet resistances in the ON and OFF states are plotted in Fig. 5a–b.

In the device with $t_{\text{CMO}} = 20$ u.c. (=7.5 nm) [Fig. 4c], the OFF/ON ratio ($R_{\text{OFF}}/R_{\text{ON}}$) is nearly 4 at room temperature, and increases as temperature decreases, exceeding 10 at 200 K. In similar devices with *e.g.* an $\text{La}_{0.8}\text{Sr}_{0.2}\text{MnO}_3$ channel and a $\text{Pb}(\text{Zr},\text{Ti})\text{O}_3$ ferroelectric gate¹⁰, OFF/ON ratios were found lower than 1.5 at 300K and 3 at 200K. Thus, the present result demonstrates the effectiveness of a channel made of a Mott insulator and of T-BFO for ferroelectric FETs. For $t_{\text{CMO}} = 40$ u.c. (Fig. 4d), the OFF/ON ratio decreases by about 40% as compared to the $t_{\text{CMO}} = 20$ u.c. case, see Fig. 5c. Surprisingly, the OFF/ON ratio does not increase beyond its value for 20 u.c. at lower t_{CMO} . This is counter-intuitive, as field-effect is an interface phenomenon and should be enhanced for thinner channels.

To get more insight into the resistive switching mechanism we measured the Hall effect of the devices at 290 K. The Hall coefficient (R_{H}) was negative for all measurements. We utilized $-1/eR_{\text{H}}$ as an estimate of the averaged electron concentration ($n_{3\text{D}}$) that is plotted against t_{CMO} in Fig. 5d (symbols). Here, e represents the elemental charge. R_{H} for $t_{\text{CMO}} = 6$ u.c. could not be evaluated because of the high resistance. If the “native” carrier density in the CMO film were independent of CMO thickness, $n_{3\text{D}}$ should increase in the ON state (n_{ON}) and decrease in the OFF state (n_{OFF}) as t_{CMO} decreases, which qualitatively is what the data displayed on Fig. 5d show. However, a closer inspection reveals that the t_{CMO} -dependence of n_{OFF} is much weaker than that of n_{ON} . In addition, although $\Delta n_{3\text{D}} \equiv n_{\text{ON}} - n_{\text{OFF}}$ is very large compared to previous results, it should be even higher with a high-polarization ferroelectric such as T-BFO. From the observed $P \approx 90 \mu\text{C}/\text{cm}^2$ (Fig. 1c), we would expect $\Delta n_{3\text{D}} \times t_{\text{CMO}} = 2P/e \approx 11 \times 10^{14} \text{ cm}^{-2}$. However, for $t_{\text{CMO}} = 20$ u.c. with the highest OFF/ON ratio, $\Delta n_{3\text{D}} \times t_{\text{CMO}}$ is calculated as only $0.6 \times 10^{14} \text{ cm}^{-2}$, corresponding to $2P \approx 10 \mu\text{C}/\text{cm}^2$.

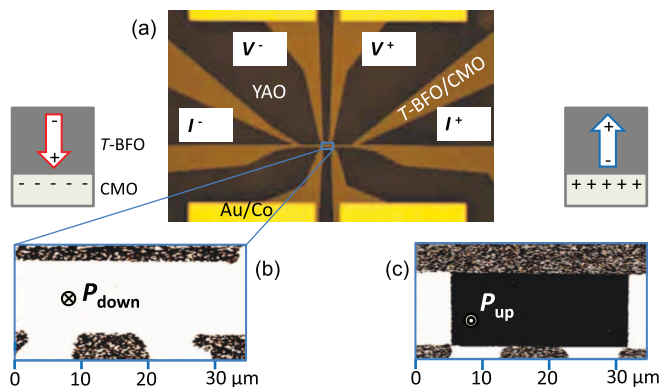


Figure 3 | Ferroelectric field effect device. (a) Photograph of a patterned device for PFM and transport experiments. (b) PFM phase image of the active area [white rectangle in (a)] in the as-patterned device, showing a uniform polarization in T-BFO pointing toward CMO (P_{down}). (c) PFM phase image after writing with -8 V, demonstrating the reversed polarization (P_{up}).

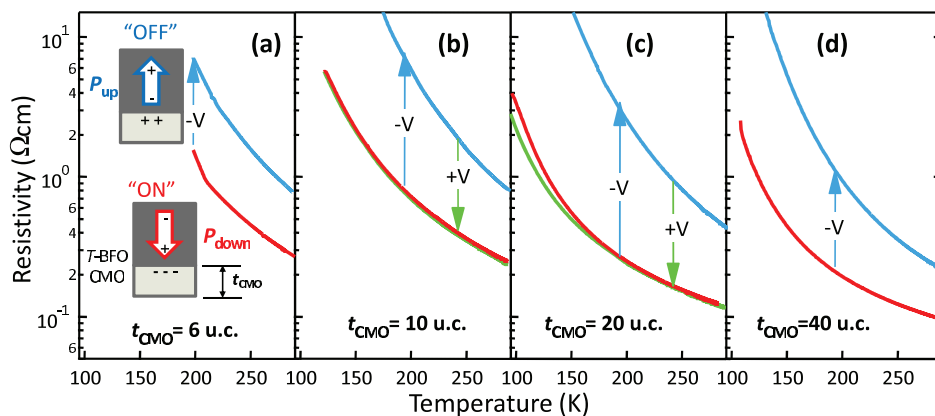


Figure 4 | Ferroelectric field effect. Resistivity versus temperature for the CMO channel with thicknesses (t_{CMO}) of (a) 6 u.c., (b) 10 u.c., (c) 20 u.c., and (d) 40 u.c. The virgin states are shown as red lines (“ON” state), which were switched to “OFF” state (blue lines) with the negative writing voltage ($-V$), and switched back to “ON” (green lines) with the positive one ($+V$). Schematics in (a) depict the two states in the device.

Discussion

The large resistance switching in the T -BFO/CMO heterostructure is clearly due to the electrostatic electron-doping of the CMO channel. However, the overall behavior of the devices presents some anomalies. One is related to the asymmetry of the thickness dependence of n_{OFF} and n_{ON} (Fig. 5d). From the STEM/EELS analysis of Fig. 2 we concluded the presence of a fixed interfacial dipole pointing down, which explains the shift of the d_{33} and P vs voltage cycles towards negative voltage (Fig. 1c–d), and favours a downward-oriented polarization state in as-grown samples (Fig. 3b). We argue that this dipole also tends to accumulate charge near the interface between T -BFO and CMO, biasing the ferroelectric-gating effect toward the electron-accumulated state.

A second important feature of our devices is revealed by plotting the sheet conductance (σ_s) at 290 K as a function of t_{CMO} (symbols in Fig. 6a). In both the ON and OFF states, the dependence of σ_s on the CMO thickness does not extrapolate to zero. Indeed, the conductance vanishes for a CMO thickness around 5 u.c., which suggests the presence of a 5 u.c. “dead layer” in all the devices. The existence of a dead layer in oxide films and devices grown on various different substrates has been largely discussed for hole-doped perovskite manganites^{19,20} and should similarly appear in electron-doped manganites.

In fact, Fig. 1b shows that decreasing the CCMO thickness from 90 to 12 u.c. leads to a resistivity increase. Particularly for $x = 0.04$, the increase is so strong that the metallic behavior is suppressed, which means that the Ce-doping effect on conductivity is reduced in the ultrathin films. In the phase diagram of the CCMO films¹³, the metallic phase is very narrow because of the strong tendency toward an orbital-ordered phase. Thus, it is natural that the electric conduction in the CMO channel is suppressed if structural disorder or nonstoichiometry exists. The latter are expected at the initial growth stage of CMO on YAO, and explain the presence of a dead layer at the CMO/YAO interface.

To gain further insight into the electronic response of our devices we have used a simple electrostatic model. As we discuss below, this model allows us to quantitatively explain the carrier density and conductivity modulation found experimentally, shown in Figs. 5d and 6a. To build this model, we take into account the presence of both a 5 u.c. dead layer and a fixed interfacial dipole, and calculate the sheet carrier density n_s in each perovskite single-plane in CMO, for both directions of the ferroelectric polarization in the T -BFO layer. The range of the carrier accumulation/depletion from the nominal value $n_s^0 = 1.1 \times 10^{12} \text{ cm}^{-2}$ is set by the Thomas-Fermi screening length λ i.e. $n_s - n_s^0 \propto \exp\left(-\frac{t}{\lambda}\right)$ and the free parameters in

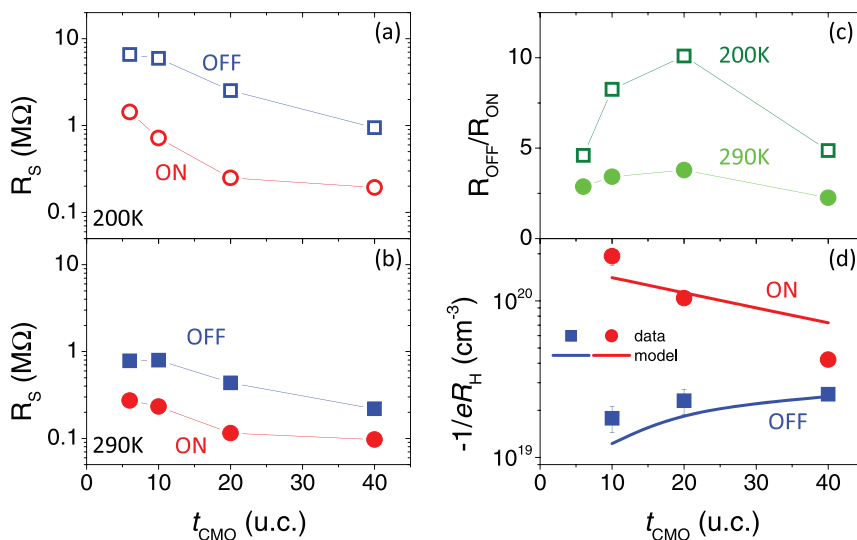


Figure 5 | Performance of the CMO/ T -BFO ferroelectric FET. Dependence on CMO thickness of the sheet resistance in the ON and OFF states at 200 K (a) and 290 K (b). (c) Resistance ratios between the OFF and ON states compared at 290 K (squares) and 200 K (circles), plotted against t_{CMO} . (d) $-1/eR_{\text{H}}$ plotted against t_{CMO} for the OFF (blue) and ON (red) states, where R_{H} and e represent Hall coefficient at 290 K and elemental charge, respectively. The experimental data are shown as symbols and the lines are simulations with our electrostatic model.

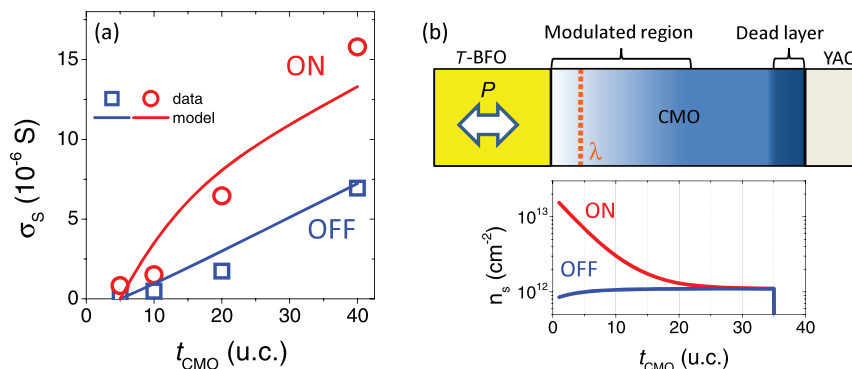


Figure 6 | Analysis of the ferroelectric field effect. (a) Sheet conductance (σ_s) at 290 K in ON (red) and OFF (blue) states plotted against t_{CMO} . The data are shown as symbols and results of the model as solid lines. (b) Depth-profile of the carrier density in the channel. The dotted orange line in the sketch corresponds to the Thomas-Fermi screening length.

the model are λ and the amplitude of the fixed interface dipole and of the ferroelectric polarization. Although the absence of conductivity in the dead layer could also be caused by a very low mobility, for simplicity we model it here as a very low concentration of (free) carriers. The graph in Fig. 6b shows typical calculated sheet carrier density profiles (the case shown is for $t_{\text{CMO}} = 40$ u.c.) for both directions of the ferroelectric polarization. In the ON state, the polarization points toward the CMO channel and is parallel to the fixed dipole; in the OFF state, it points away from the CMO and is anti-parallel to the fixed dipole. n_s is vanishingly small in the 5 u.c. dead layer close to the YAO substrate, weakly affected by ferroelectricity in a “core” region (between about 20 and 35 u.c. where n_s remains virtually unchanged at the set value), and strongly dependent on ferroelectric polarization direction in the modulated region (from 0 to about 20 u.c.). The modulation effect, calculated for an effective ferroelectric polarization of $P = 5.5 \mu\text{C}/\text{cm}^2$ ($2P = 11 \mu\text{C}/\text{cm}^2$), is asymmetric due to the presence of the fixed interface dipole (set to $5 \mu\text{C}/\text{cm}^2$, with an uncertainty of $\pm 0.5 \mu\text{C}/\text{cm}^2$), and extends over more than 20 u.c. In order to fit the data of Fig. 5b, a screening length of 4.5 u.c. was required. This is larger than what can be estimated from the equation $\lambda = (\epsilon \hbar^2 / 4me^2)^{1/2} n^{-1/6}$ (\hbar , m , n , ϵ are the Planck constant divided by 2π , the electron mass, the carrier density, and the dielectric constant of CMO, respectively), from which $\lambda = 2\text{--}3$ u.c. is expected for typical Mott insulator perovskites ($n \approx 10^{19} \text{cm}^{-3}$, $\epsilon \approx 20$). The ferroelectric instability existing in CMO films²¹ or exchange and correlation effects²² may however increase ϵ and result in a larger λ . Fig. 6b shows that just at the interface, n_s is modulated by ferroelectricity over more than one order of magnitude. Such profiles, calculated with the above parameters, yield a good reproduction of the thickness dependence of the carrier density for the ON and OFF states, as visible in Fig. 5d.

The calculated sheet conductances for the ON and OFF states are plotted vs. CMO thickness in Fig. 6a and compared with the experimental values. This good agreement with the data was obtained by taking into account a carrier mobility of $1.2 \text{cm}^2/\text{Vs}$ at $1.1 \times 10^{12} \text{cm}^{-2}$, slightly decreasing with increasing carrier concentration, in line with what was observed in electrolyte-gated CMO-based transistors¹³. Thus, our simple electrostatic model allows us to quantitatively understand the experimental results.

In summary, we have reported a very large non-volatile modulation of the transport properties in a field-effect transistor combining a Mott-insulator channel and a ferroelectric gate made of supertetragonal BiFeO_3 . Ferroelectric polarization switching drove resistance and carrier density variations over one order of magnitude. Through a simple electrostatic model taking into account the carrier accumulation/depletion by the ferroelectric polarization and the presence of a fixed dipole, inferred by atomic-scale STEM/EELS characterization, we have been able to explain the device

behavior, for varying channel thickness. Despite the large amplitude of the observed effects, we find that the effective polarization acting on the channel is only about $5 \mu\text{C}/\text{cm}^2$, that is much smaller than the polarization of our T-BFO ($\sim 90 \mu\text{C}/\text{cm}^2$). This may be due to the presence of defects at the channel/gate interface or to the presence of residual nanoscale domains with opposite polarization after poling. Resolving these pending issues to increase the effective polarization by a factor 3–5 should be enough to drive a transition to a metallic state and achieve for the first time a solid-state Mott transistor. For Mott insulators with coupled electronic and magnetic properties, this will also enable the non-volatile electrical control of magnetic and/or orbital order.

Methods

Fabrication and characterization. The samples were fabricated by pulsed laser deposition using Nd:YAG laser. The substrate temperature (T_{sub}) and oxygen pressure (P_{O_2}) during the deposition of both CMO and CCMO ($x = 0.04$) films were 670°C and 20Pa , respectively. For the growth of T-BFO/CMO//YAO heterostructures, the thickness of the CMO layer (t_{CMO}) was controlled via reflection high-energy electron diffraction (RHEED). The T-BFO layer was subsequently grown at $T_{\text{sub}} = 580^\circ\text{C}$ and $P_{\text{O}_2} = 0.6 \text{Pa}$ by using a Mn 5%-doped target, as described in Ref. 15. Post-deposition annealing was performed at $T_{\text{sub}} \approx 500^\circ\text{C}$ and $P_{\text{O}_2} = 30 \text{kPa}$.

2 θ - ω scans in X-ray diffraction were performed with Panalytical Empyrean equipped with a hybrid monochromator for Cu $K_{\alpha 1}$ radiation and a PIXcel^{3D} detector. The thickness of the T-BFO layer in the heterostructure was measured by X-ray reflectivity with Bruker D8 DISCOVER. The average surface roughness, which can be estimated from fringes in the reflectivity, was cross-checked with atomic force microscopy (AFM), and found as small as 0.4 nm for all samples.

Measurement bridges for FET studies were patterned by optical lithography and argon ion etching. Electrical contacts for measurements were made on gold/cobalt electrodes defined by a combination of lithography and lift-off techniques.

STEM and EELS. A cross-section of a CMO/T-BFO sample was first prepared by the tripod method for the mechanical polishing and further thinned to electron transparency with a precision ion-polishing system. STEM-HAADF images were acquired using a C3/C5 aberration-corrected STEM, in this case the NION UltraSTEM 100 kV with a probe size of 1 Å, allowing an atomic resolution and coupled with EELS experiments performed with a collection semi-angle of 50 mrad and a convergence semi-angle of 35 mrad at a current of approximately 100 pA. These experimental parameters enabled us to probe the 3d transition metal fine structure (Ca, Mn and Fe $L_{3,2}$ edges) in the perovskite structure atomic column by atomic column. The EELS spectra were acquired using the spectrum line mode, with an energy dispersion of 0.1 to 1 eV/channel and an acquisition time from few ms to 1 s.

PFM measurements. PFM experiments for the T-BFO film were conducted with a Digital Instruments Nanoscope IV set-up at room temperature. The piezo-response was detected with commercial silicon tips coated with Cr and Pt (Budget Sensors) and external Stanford Research SR830 lock-in amplifiers at an excitation frequency of 4 kHz and an a.c. voltage of 1 V. To pole the channel, a d.c. voltage was applied on the CrPt tip with an internal voltage source in the AFM.

Electrical measurements. The channel resistance was measured by a four-probe configuration with a Keithley 2400 source-measure unit and a Keithley 2182 nanovoltmeter. Its temperature dependence was measured at a constant current of 0.05–0.1 μA during a warming run after cooling in a cryostat. For Hall



measurements, the magnetic field was applied up to $\pm 9\text{T}$ in a Quantum Design Physical Properties Measurement System (PPMS). A multifunctional probe in PPMS was utilized for measurements with Keithley 2400 and Keithley 2182. Ferroelectric hysteresis loops for ITO/T-BFO/CCMO films was measured with aixACCT TF analyzer 2000 equipped with a high voltage amplifier. Measurement at 77 K was carried out in an evacuated probe station cooled with liquid nitrogen.

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Author contributions

H.Y., M.B., A.B. and J.E.V. conceived the experiments. H.Y. grew the samples with the assistance of E.J. H.Y., P.A., A.C., S.F., V.G., L.B.L. and K.B. performed the PFM, ferroelectricity and transport measurements. M.M. and A.G. carried out the STEM-EELS characterization. H.Y., J.E.V. and M.B. analysed the results. H.Y. and M.B. wrote the manuscript, with inputs from all other authors.

Additional information

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