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# How stress triaxiality affects cavitation damage in high-density polyethylene: Experiments and constitutive modeling

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#### ABSTRACT

The aim of this article is to investigate how the stress triaxiality affects the plastic deformation behavior of high-density polyethylene using an approach combining experiments and micromechanics-based modeling. The stress-strain behavior along with the cavitation damage accumulation are experimentally quantified under well-controlled transversal response of hourglass-shaped tensile specimens with different curvature radii in order to set different triaxial stress states in the median cross-section. A constitutive elastic-plastic-damage representation is then presented within a continuum-based micromechanical framework. The model, constrained by the same boundary conditions as the experimental tests, is used to examine the stress triaxiality effects on the separate and synergistic effects of plasticity and cavitation damage micromechanisms that govern the macroresponse.

## 1. Introduction

Semi-crystalline polyethylene is widely used in engineering components in most fields of technology (oil industry, automobile, aeronautic, robotic, biomechanics, civil engineering, etc.) and may experience more or less complex mechanical loadings. The assessment of their intrinsic mechanical behavior is of prime importance in the design of polyethylene components whether in the form of fibres, films or massive parts. From this standpoint, the establishment of the structure-property relationship is particularly important [1–7]. From the earlier studies of Peterlin [8,9] the prevailing picture for plastic deformation in straining of a semi-crystalline polymer has been stated as the destruction of lamellae associated with their unraveling and transformation into densely packed microfibrils, all thought to occur in a catastrophic process termed micronecking. Over the years, a substantial qualitative understanding of the plasticity/damage interactions has been brought [10-16]. The applied tensile stress causes in the first place the deformation of polymer amorphous phase. In polyethylene its modulus is low, so its deformation is rather high. Under such strain the amorphous phase loses its consistency and cavitation can occur. That is also connected

with 3D stress being developed in thin amorphous layers embedded between broad and wide lamellae. Amorphous layers have limited possibilities to expand or shrink in the directions along the contact with crystalline lamellae, so cavitation occurs. In many polymers, including polyethylene, the cavitation of the amorphous phase can be the first plastic deformation event and then the tensile yielding is in fact determined by the strength and consistency of the amorphous phase and not by crystal plasticity. The stress triaxiality may affect the plasticity/damage coupling. It is the case, for example, during the appearance of a necking or in a crack tip where triaxiality effects and plasticity/damage coupling are combined [17–19]. The triaxiality effects on the constitutive behavior can be studied by loading in tension cylindrical hourglass-shaped specimens with different curvature radii [20–23]. The simultaneous investigation of the triaxiality effects and the plasticity/damage coupling remains rarely documented.

Over the years, constitutive representations of the polyethylene have been proposed. Although purely phenomenological approaches can be employed [24–27], continuum-based constitutive models considering structural parameters may provide a deeper understanding of the intrinsic mechanical behavior and a more accurate information in the

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design of polyethylene components. According to the approximation of the microstructure based on composite-type representations, the macro-response may be modeled by the averaging homogenization performed within a mesoscopic representative volume element. By this way, the active interactions of the amorphous and crystalline domains are modeled. For example, research works [20,28-30] proposed models based on the Eshelby inclusion theory and the micromechanics framework using the matrix-inclusion constitutive representation to predict elastic stiffness and yield strength of semicrystalline polymer systems. As an alternative, the semicrystalline structure may be regarded as an aggregate of two-phase inelastic layered composite inclusions to predict both pre-yielding and post-yielding [31-38]. Treating also such material systems as two-phase media, rheological inelastic constitutive models were also proposed [39-50]. If the aforementioned models are able to bring a better understanding of the crystallization on the macro-response, their application is relevant only if the deformation results exclusively from isochoric deformation mechanisms, which excludes the inelastic dilatation mechanisms.

The aim of the present article is to investigate how the stress triaxiality influences the stress-strain behavior along with the cavitation damage in high-density polyethylene. We report experimental observations carried out under well-controlled transversal response of hourglass-shaped tensile specimens with different curvature radii in order to set different triaxial stress states in the median cross-section. A constitutive elastic-plastic-damage representation of high-density polyethylene is then presented within a continuum-based micromechanical framework in order to provide a deeper understanding of the separate and synergic effects of plasticity and cavitation damage micromechanisms on the macro-response.

The present paper is organized as follows. The investigated material, experimental procedures and results are detailed in Section 2. Section 3 presents the continuum-based micromechanical model, a parametric study and the model-experiments comparisons. Concluding remarks are finally given in Section 4.

## 2. Experiments

## 2.1. Material and methods

#### 2.1.1. Material and specimens

The investigated high-density polyethylene is a grade used for pipe applications. Cylindrical hourglass-shaped tensile specimens were extracted from the same pipe, parallelly to the extrusion direction. The specimen geometry, with curvature radii  $R_{\rm c0}$  equal to 2, 4, 10 and 80 mm, is given in Fig. 1a. They will be referred to as Rx where x is the initial curvature radius value. The initial specimen dimension is defined by the curvature radius  $R_{\rm c0}$ , the minimum diameter  $D_{\rm 0}$ , the gage length  $D_{\rm 0}$ , and the maximum diameter  $D_{\rm 0}$ , the gage length  $D_{\rm 0}$ , and  $D_{\rm 0}$  mm for R2, R4, R10 and R80, respectively. All specimens have the same  $D_{\rm 0}$  and  $D_{\rm 0}$  values.

The main characteristics provided by the manufacturer (STPM CHIALI Company) are: density  $\approx 0.96~{\rm g/cm^3}$ , weight-average molar weight  $\approx 310,000~{\rm g/mol}$  and carbon black  $\approx 2.5\%$ . The crystallinity ratio was determined by means of a PerkinElmer Diamond differential scanning calorimetry (DSC) at a heating rate of 10 °C/min under nitrogen atmosphere. It was verified that all DSC measurements are the same on the second run. Measurements were taken on specimens of 10 mg cut in three positions of the pipe thickness (Fig. 1b); the outer and inner diameters are of 250 mm and 232 mm, respectively. Using a theoretical melting enthalpy of the pure crystalline polyethylene material of 289 J/g [51], a crystallinity ratio of approximately  $\varphi_w^{\rm cry}=74\%$  was found for the three positions.

## 2.1.2. Mechanical testing

The tension tests were achieved in well-controlled conditions, at

room temperature and at constant true strain rate, up to failure by means of a video-controlled system in order to determine the intrinsic tension behavior of the high-density polyethylene material. The tests were performed using an electromechanical Instron-5800 universal testing machine equipped with suitable testing rigs. As shown in Fig. 2 a CCD camera, connected with the testing machine, records the minimum diameter of the specimen. In order to investigate how the stress triaxiality affects the nonlinear material response in relation with the deformation mechanisms, two different measurements have been undertaken in the plastic instability:

- Tests with a constant cross-head speed up to failure: The videosystem is used as a simple extensometer in order to record the decrease of the minimum diameter while the cross-head speed of the Instron testing machine is constant.
- Tests with a constant local true strain rate up to failure: The device enables us to impose (locally in the smallest section of the necking) a constant rate of the true diametral strain  $\bar{\epsilon}_D = \ln D/D_0$  by regulating the cross-head speed of the Instron testing machine.

By designating the 1-direction as axisymmetric axis of the cylindrical hourglass-shaped tensile specimen and the transverse plane 2–3 to be the cross-section, the effective stress  $\overline{\sigma}$  can be written as <sup>1</sup>:

$$\overline{\mathbf{\sigma}} = \operatorname{diag}\left(\overline{\sigma}_{11}, R\overline{\sigma}_{11}, R\overline{\sigma}_{11}\right) \tag{1}$$

in which  $R = \overline{\sigma}_{22}/\overline{\sigma}_{11} = \overline{\sigma}_{33}/\overline{\sigma}_{11}$  is the stress ratio.

The mean stress  $\overline{\sigma}_m$  and the von Mises equivalent stress  $\overline{\sigma}_{eq}$  are expressed as:

$$\overline{\sigma}_m = \frac{\overline{\sigma}_{11}}{3} (1 + 2R) \tag{2}$$

$$\overline{\sigma}_{eq} = \overline{\sigma}_{11}(1 - R) \tag{3}$$

The two limit values R=0 and R=1 correspond, respectively, to the uniaxial tension case and the pure hydrostatic case. The multiaxial stress state in the median cross-section of the specimen can be quantified using the stress triaxiality ratio  $\beta$ , a scalar quantity defined as the ratio of the mean stress  $\overline{\sigma}_m$  and the von Mises equivalent stress  $\overline{\sigma}_{ee}$ :

$$\beta = \frac{\overline{\sigma}_m}{\overline{\sigma}_{eq}} = \frac{1 + 2R}{3(1 - R)} \tag{4}$$

The initial maximum stress triaxiality  $\beta$ , reached at the center of the median cross-section, is given in Fig. 1b for each studied specimen by using a Bridgman [52] analysis. As expected, it increases with decreasing curvature radius  $R_{c0}$ , from 0.33 for R80 specimen to 0.8 for R2 specimen.

#### 2.1.3. Volumetric strain measurements

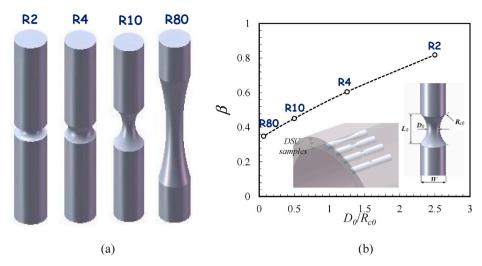
The 2D images during deformation were monitored and treated with an image analysis software in order to calculate the current volume V after 3D reconstruction of the specimen gage part. Solely the latter is assumed to be concerned by the volume variation. Straight cross-sections are supposed to remain straight during deformation. An example of obtained result after 3D reconstruction is shown in Fig. 2 for different load sequences of a R2 specimen.

The true volumetric strain  $\overline{\varepsilon}_V$  is given by:

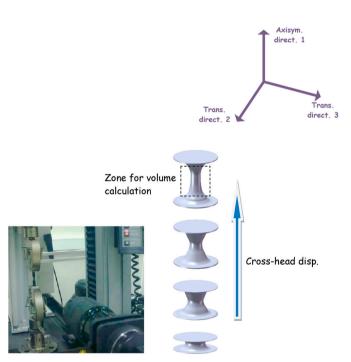
$$\overline{\varepsilon}_V = \ln\left(\frac{V}{V_0}\right) \tag{5}$$

where  $V_0$  is the initial volume the specimen gage part.

 $<sup>^{1}</sup>$  Tensors are denoted by normal boldfaced letters while scalars and individual components of tensors are denoted by normal italicized letters.



**Fig. 1.** Hourglass-shaped tensile specimens: (a) geometry with four different curvature radii  $R_{c0}$  (For all specimens, the initial minimum diameter  $D_0$  is equal to 5 mm and the maximum diameter W is equal to 10 mm), (b) initial maximum stress triaxiality  $\beta$  for each specimen.



**Fig. 2.** Experimental device for the measurements of the smallest cross-section of the hourglass-shaped tensile specimens. After 3D reconstruction from the recorded 2D images, the volumetric strain is calculated in the specimen gauge zone.

The true inelastic volumetric strain  $\overline{\epsilon}_{Vcav}$  is determined by eliminating the Poisson's ratio contribution by substituting the elastic part  $\overline{\epsilon}_{Vel}$ :

$$\overline{\varepsilon}_{Vcav} = \overline{\varepsilon}_V - \overline{\varepsilon}_{Vel}, \ \overline{\varepsilon}_{Vel} = (1 - 2\nu) \frac{\overline{\sigma}_{11}}{E}$$
 (6)

in which  $\nu$  is the elastic Poisson's ratio, E is the Young's modulus,  $\overline{\sigma}_{11}=4F/\pi D^2$  is the true axial stress, F is the actual value of the applied load and D is the minimum diameter of the deformed specimen.

This inelastic volumetric strain allows differentiating the dilatational

mechanisms, giving rise to a volume increase, and the shear yielding, occurring at constant volume [53,54]:

$$\overline{\varepsilon}_{shear} = \overline{\varepsilon}_{11} - \overline{\varepsilon}_{Vcav} - \overline{\varepsilon}_{Vel} \tag{7}$$

where  $\overline{\varepsilon}_{11}$  is the true axial strain.

#### 2.2. Experimental results

## 2.2.1. Transversal behavior

The results of tensile tests achieved at constant cross-head speed on the four hourglass-shaped specimens are firstly analyzed. The diametral strain measured during the tensile test at constant cross-head speed can be considered as a cavitation damage indicator [21]. Fig. 3a shows the evolution of the true diametral strain against the true axial strain (defined as the natural logarithm of the ratio of the gage length to the initial gage length), and the consequences of triaxialities higher than that of a uniaxial loading. All tests were performed at a nominal strain rate (defined as ratio of the constant cross-head speed and the gage length) of 0.001 s<sup>-1</sup>. Recall that the initial minimal diameter is the same for all the specimens. It can be clearly observed that the lower the curvature radius (i.e. the higher the stress triaxiality) is, the lower the diameter reduction is. The cavitation phenomenon is expected to be more pronounced for the higher triaxialities. The diameter reduction for the lowest triaxiality tends to an asymptotic value, this stabilization corresponding to the neck propagation stage.

The results of tensile tests carried out by controlling the diameter reduction are now examined. To this end, the cross-head speed is regulated during the test. The variation of the true axial strain with time is given in Fig. 3b. It can be seen that the diametral strain progresses linearly with time whereas the axial strain exhibits different stages which can be correlated with the different steps of the stress-strain response.

## 2.2.2. Stress-strain responses

The true stress-strain curves and the evolution of the deformation mechanisms for the four hourglass-shaped tensile specimens stretched at a constant local true strain rate of  $0.001~\rm s^{-1}$  are presented in Fig. 4. The specimens always behave in a very ductile manner. The actual shape of specimens, obtained from the CCD camera at different levels of strain, is also presented in the figure. All specimens exhibit a symmetric

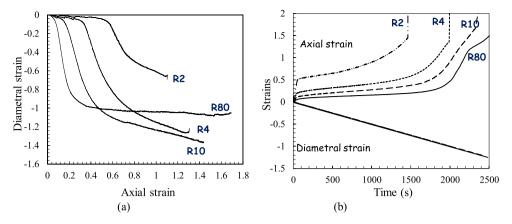


Fig. 3. Strain evolutions under tensile tests at (a) constant cross-head speed and (b) constant local true strain rate.

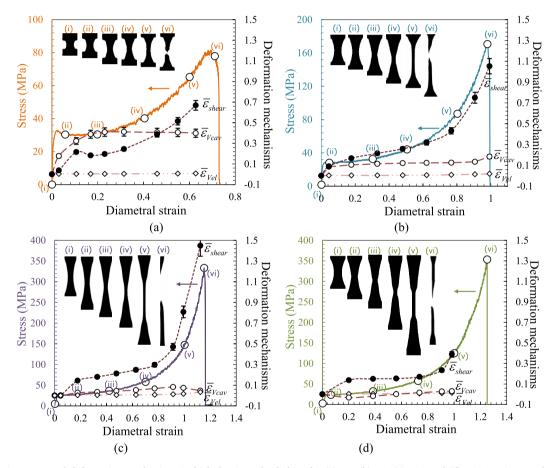


Fig. 4. Stress-strain curves and deformation mechanisms in high-density polyethylene for (a) R2, (b) R4, (c) R10 and (d) R80 specimens. The specimen shape evolution in the course of tension is given for different strain levels from the initial state to the final failure state. The quantities  $\bar{\epsilon}_{shear}$ ,  $\bar{\epsilon}_{Vcav}$  and  $\bar{\epsilon}_{Vel}$  denote, respectively, the shearing strain, the cavitation damage strain and the elastic strain.

propagation of the necking initiated at the median cross-section. The curvature radius becomes larger while the necking continues to increase and at very large strains the specimens look like smooth specimens. The stress-strain behavior is characterized by four main stages: an initial elastic response, followed by a rollover to yield, a progressive plastic strain hardening and finally a dramatic plastic strain hardening at very large strains before final rupture. Some strain softening is observed just

after yielding for the highest triaxiality that would be the consequence of an exacerbated damage. By comparing the different stress-strain curves, it can be observed that the stress triaxiality has an important effect on the strain hardening. It can be also observed that the higher the stress triaxiality is, the lower the diametral strain at failure is. The ultimate diametral strain is decreased by about 50% for stress triaxialities varying between 0.33 and 0.8.

#### 2.2.3. Deformation mechanisms

According to the earlier studies of Peterlin [8,9] the shear yielding is identified as the mechanisms operating in the crystalline lamellae; the plasticity of the crystalline lamellae is followed by their fragmentation leading to the formation of a fibrillar microstructure. The inelastic volumetric strain is the most direct indicator of the voiding mechanism nucleated within the amorphous phase between the crystalline lamellae. The components of the deformation mechanisms, determined at different levels of plastic strain, are reported in Fig. 4. According to the stress triaxiality ratio, a competition between two inelastic mechanisms is clearly pointed out: plasticity/fragmentation mechanisms of crystalline lamellae vs. voiding mechanism. It appears that the shear yielding is the predominant deformation mechanism for the lowest triaxialities. By contrast, for the highest triaxiality, in which high hydrostatic stresses are involved, the inelastic volumetric strain takes over the shear strain and takes place as the plastic deformation proceeds. For all the triaxialities the rate of volumetric strain decreases at very large strains. It appears that two competing mechanisms control the macroscopic rupture of the high-density polyethylene material: destruction of the lamellar morphology and stretching limit of the chains at low triaxiality and, coalescence process of microvoids at high triaxiality.

To confirm the observations made at the macroscopic scale, the breaking surfaces of hourglass-shaped specimens were examined at various scales using a Hitachi S 4700 scanning electron microscope operated at an accelerating voltage of 10 kV. The surfaces were observed perpendicularly to the direction of applied stress. The morphological characteristics of specimens presenting the two extreme triaxialities are presented in Fig. 5. In the case of a low triaxiality one can note the presence of some voids which do not seem to interact with each other. At high triaxiality, the situation is completely different and the observations confirm the preponderant character of the damage by void growth.

## 3. Micromechanics-based elastic-plastic-damage model

## 3.1. Constitutive equations

In order to bring a better understanding of the triaxiality effects on the elastic-plastic response along with the cavitation damage in highdensity polyethylene, a constitutive model based upon the continuumbased micromechanical framework is presented in this section. The morphological representation of the said material is treated as an Eshelby-type inclusion problem in which the representative volume element consists in a stiff percolated crystalline medium as the continuous phase and a discrete rubbery amorphous phase with an original volume fraction  $\varphi_0^{am}$ . This morphological representation was initially proposed by Hachour et al. [20] to capture the initial biaxial yield strength and is extended here to the plastic-damage behavior. Since our objective is to model the overall yielding behavior, rather than the elementary mechanisms operating in the crystalline lamellae, the ensemble-volume averaged homogenization procedure can be directly used, the active interaction between crystalline and amorphous domains being considered as a first-order factor. The two constitutive phases are supposed to be isotropic and homogeneous media with elastic stiffness tensors  $C^{cry}$  and  $C^{am}$ . The stiff crystalline matrix behaves in a purely deviatoric manner (without volume change) and is described by the von Mises yield criterion with isotropic plastic hardening.

The elastic constitutive relation is given by<sup>2</sup>:

$$\overline{\mathbf{\sigma}} = \overline{\mathbf{C}} : \overline{\mathbf{\epsilon}}^{\ell} \tag{8}$$

in which  $\overline{\sigma}$  is the effective stress given in Eq. (1) in axisymmetric form,  $\overline{C}$ 

is the effective elastic stiffness and  $\bar{\epsilon}^e$  is the effective elastic strain expressed as:

$$\overline{\varepsilon}^{e} = \operatorname{diag}\left(\varepsilon_{11}^{e}, \varepsilon_{22}^{e}, \varepsilon_{22}^{e}\right) = \frac{\overline{\sigma}_{11}}{E}\operatorname{diag}(1 - 2\nu R, -\nu + (1 - \nu)R, -\nu + (1 - \nu)R)$$
(9)

where E and  $\nu$  are again, respectively, the (effective) elastic stiffness and the (effective) Poisson's ratio of the semi-crystalline material.

The effective plastic strain rate  $\vec{e}^p$  is governed by the normality rule and corresponds to the differentiation of the effective yield function  $\overline{F}$  with respect to the effective stress  $\overline{\sigma}$ :

$$\dot{\overline{\varepsilon}}^{p} = \dot{\lambda} \frac{\partial \overline{F}}{\partial \overline{\sigma}} = (1 - \varphi^{am}) \dot{\lambda} \frac{\overline{\mathbf{T}} : \overline{\sigma}}{\sqrt{\overline{\sigma} : \overline{\mathbf{T}} : \overline{\sigma}}}$$
(10)

where  $\dot{\lambda}$  is the plastic multiplier and  $\phi^{am}$  is the current amorphous volume fraction:

$$\varphi^{am} = \varphi_0^{am} - \varphi^{cav} \tag{11}$$

in which the term  $\varphi^{cav}$  represents the cavitation damage.

The effective yield function  $\overline{F}$  is dependent on the mean stress and is given by Ref. [55]:

$$\overline{F} = (1 - \varphi^{am})\sqrt{\overline{\mathbf{\sigma}} : \overline{\mathbf{T}} : \overline{\mathbf{\sigma}}} - \sqrt{\frac{2}{3}} [\sigma_y + \xi(\overline{e}^p)] \le 0$$
(12)

in which  $\sigma_y$  is the initial yield strength of the crystalline phase and  $\xi(\overline{e}^p)$  is a function of the effective equivalent plastic strain  $\overline{e}^p$ :

$$\xi(\overline{e}^p) = h_1(\overline{e}^p)^{q_1} + h_2(\overline{e}^p)^{q_2} \tag{13}$$

in which the terms  $h_1$ ,  $h_2$ ,  $q_1$  and  $q_2$  are the hardening parameters of the crystalline phase.

It is worth noticing that the triaxiality-dependence is supposed entirely due to the amorphous phase. Indeed, the usual von Mises yield criterion is recovered when the amorphous volume fraction is zero.

The term  $\overline{\mathbf{T}}$  is expressed as:

$$\overline{T}_{iikl} = \overline{T}_1 \delta_{ii} \delta_{kl} + \overline{T}_2 \left( \delta_{ik} \delta_{il} + \delta_{il} \delta_{ik} \right) \tag{14}$$

where  $\delta_{ij}$  signifies the Kronecker delta,  $\overline{T}_1$  and  $\overline{T}_2$  are provided in Appendix A.

The terms  $\overline{T}:\overline{\sigma}$  and  $\overline{\sigma}:\overline{T}:\overline{\sigma}$  write:

$$\overline{\mathbf{T}}: \overline{\mathbf{\sigma}} = \overline{\sigma}_{11} \operatorname{diag} \left( \overline{T}_1 + 2R\overline{T}_1 + 2\overline{T}_2, \overline{T}_1 + 2R\overline{T}_1 + 2R\overline{T}_2, \overline{T}_1 + 2R\overline{T}_1 + 2R\overline{T}_2 \right)$$
(15)

$$\overline{\boldsymbol{\sigma}}: \overline{\mathbf{T}}: \overline{\boldsymbol{\sigma}} = \overline{\sigma}_{11}^2 \left[ \overline{T}_1 + 2\overline{T}_2 + 4R\overline{T}_1 + 4R^2 \left( \overline{T}_1 + \overline{T}_2 \right) \right] = \overline{\sigma}_{11}^2 \boldsymbol{\Phi}(R)$$
(16)

The effective plastic strain rate  $\frac{\dot{\epsilon}^p}{\epsilon}$  becomes:

$$\dot{\overline{\varepsilon}}^{p} = \frac{(1 - \varphi^{am})\dot{\lambda}}{\sqrt{\Phi(R)}} \operatorname{diag}\left(\overline{T}_{1} + 2R\overline{T}_{1} + 2\overline{T}_{2}, \overline{T}_{1} + 2R\overline{T}_{1} + 2R\overline{T}_{2}, \overline{T}_{1} + 2R\overline{T}_{1} + 2R\overline{T}_{1}\right)$$
(17)

The effective elastic stiffness tensor  $\overline{C}$  is expressed as [56]:

$$\overline{\mathbf{C}} = \mathbf{C}^{cry} \cdot \{\mathbf{I} - [\mathbf{Y}^{am} + \mathbf{Y}^{cav}] \cdot [\mathbf{S}^{am} \cdot \mathbf{Y}^{am} + \mathbf{S}^{cav} \cdot \mathbf{Y}^{cav} + \mathbf{I}]^{-1}\}$$
(18)

The terms  $S^{am}$  and  $S^{cav}$  denote the Eshelby tensors for the (intact) amorphous phase and the damaged amorphous phase, I is the identity tensor and,  $Y^{am}$  and  $Y^{cav}$  are tensors expressed as:

$$\mathbf{Y}^{am} = -\varphi^{am} \left[ \mathbf{S}^{am} + (\mathbf{C}^{am} - \mathbf{C}^{cry})^{-1} \cdot \mathbf{C}^{cry} \right]^{-1}$$
(19)

$$\mathbf{Y}^{cav} = -\varphi^{cav}(\mathbf{S}^{cav} - \mathbf{I})^{-1}$$
 (20)

The cavitation damage is expressed by a two-parameter Weibull

<sup>&</sup>lt;sup>2</sup> The double dot ":" signifies the tensor contraction between a fourth-order tensor and a second-order tensor, while the single dot "." denotes the tensor multiplication between two fourth-order tensors.

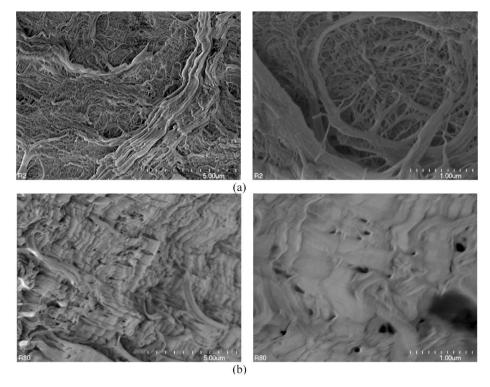


Fig. 5. SEM micrographs around the crack initiation site of (a) R2 specimen revealing voids and of (b) R80 specimen revealing non-interacting voids.

statistical distribution in order to introduce the stochastic nature of the process:

$$\varphi^{cav} = \varphi_0^{am} \left\{ 1 - \exp\left[ -\left( \frac{\overline{\sigma}_m^{am}}{s} \right) \right]^m \right\}$$
 (21)

in which m is the Weibull shape parameter, s is the Weibull scale parameter and  $\overline{\sigma}_m^{am}$  is the mean of the internal stress  $\overline{\mathbf{\sigma}}^{am}$  in the amorphous phase:

$$\overline{\mathbf{\sigma}}^{am} = \mathbf{C}^{cry} \cdot \left\{ \mathbf{I} + (\mathbf{I} - \mathbf{S}^{am}) \cdot \left[ \mathbf{S}^{am} + (\mathbf{C}^{am} - \mathbf{C}^{cry})^{-1} \cdot \mathbf{C}^{cry} \right]^{-1} \right\}.$$

$$\left\{ \mathbf{I} + \mathbf{Y}^{am} + \mathbf{Y}^{cav} - \left[ \mathbf{S}^{am} \cdot \mathbf{Y}^{am} + \mathbf{S}^{cav} \cdot \mathbf{Y}^{cav} \right] \right\}^{-1} \cdot (\mathbf{C}^{cry})^{-1} \cdot \overline{\mathbf{\sigma}}$$
(22)

The model makes possible to estimate the separate and synergic effects of plasticity and cavitation damage. The model is now compared to the tension experimental data presented above. Note that in the literature the most reliable data on polymer crystal plasticity are collected in compression and in channel die compression, but not in tension. Nonetheless, it is obvious that the yielding due to tensile straining is not

Table 1 Model parameters.

Parameter	Significance	Value
α	Shape factor	0.2
$arphi_0^{am}$	Initial volume fraction	0.29
$E_{am}$ (MPa)	Amorphous elastic stiffness	4.5
$ u_{am}$	Amorphous Poisson's ratio	0.49
$E_{cry}$ (MPa)	Crystalline elastic stiffness	4500
$ u_{cry}$	Crystalline Poisson's ratio	0.4
$\sigma_y$ (MPa)	Crystalline yield strength	20
$h_1$ (MPa)	Crystalline plastic hardening	5
$h_2$ (MPa)	Crystalline plastic hardening	4
$q_1$	Crystalline plastic hardening	2.5
$q_2$	Crystalline plastic hardening	3
m	Weibull shape parameter	2
S	Weibull scale parameter	1.3

connected with crystal plasticity but with the phenomena occurring in the amorphous phase.

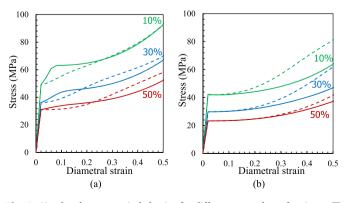
## 3.2. Micromechanical simulation

Unless explicitly otherwise stated, the values of the model parameters are those listed in Table 1. The elastic stiffness of the amorphous phase  $E_{am}$  is given by:

$$E_{am} = 2(1 + \nu_{am}) \frac{\rho_{am} R_u \theta}{M_{am}}$$
 (23)

in which  $\nu_{am}$  is the amorphous Poisson's ratio,  $\rho_{am}=0.855$  g/cm<sup>3</sup> is the density,  $M_{am}=1.4$  kg/mol is the molar mass between entanglements above the glass transition temperature,  $R_u$  is the universal gas constant and  $\theta$  is the absolute temperature. The initial amorphous volume fraction  $\varphi_0^{am}$  is computed using the following relationship:

$$\varphi_0^{am} = 1 - \varphi^{cry} = 1 - \frac{\rho}{\rho_{cry}} \varphi_w^{cry}$$
 (24)



**Fig. 6.** Simulated stress-strain behavior for different amorphous fractions  $\varphi_0^{am}$  (solid lines: s=3, dashed lines: s=1.3) for (a) R2 and (b) R4 specimens.

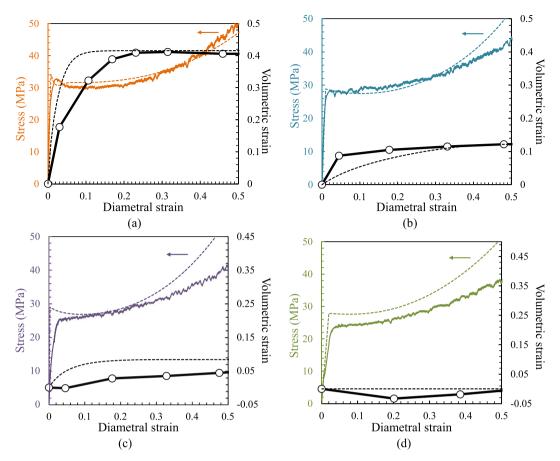


Fig. 7. Model simulations (dashed lines) vs. experiments (solid lines) of the stress-strain and volumetric behavior for (a) R2, (b) R4, (c) R10 and (d) R80 specimens.

where  $\varphi^{cry}$  is the crystal volume fraction,  $\varphi^{cry}_w = 0.74$  is the crystal weight fraction,  $\rho = 0.96$  g/cm³ is the density of the whole material and  $\rho_{cry} = 1.00$  g/cm³ is the density of the crystalline phase. The shape factor  $\alpha$  (see Appendix A) is taken from a previous work [20] in which the value has been extracted from the calibration of the yield surface (12) using the initial biaxial yield strength for different biaxial stress states. The crystalline elastic constants,  $E_{cry}$  and  $\nu_{cry}$ , are those proposed by Choy and Leung [57]. The plastic properties of the crystalline phase,  $\sigma_y$ ,  $h_1$ ,  $h_2$ ,  $q_1$  and  $q_2$ , and the Weibull parameters, m and s, were fitted to the macro-response of the R2 specimen.

The simulation consists in a macroscopic transversal straining up to an assigned maximum value. To gain insight into the model specificities, Fig. 6 presents a parametric analysis in which a model parameter is varied independently while the others are kept constant. Here, we focus on the two lowest specimen curvature radii. A global view at these plots allows to provide indications on the effects of model parameters, related to initial microstructure and damage micromechanism, and how stress triaxiality affects their effects on the macro-response. The increase in amorphous fraction leads to a reduction of yield strength level and favors the volumetric strain increase. By controlling the volumetric strain evolution, the Weibull scale parameter has a great effect on the macrostress that starts with a unique curve and then diverges beyond some critical diametral strain that depends on the triaxial stress state.

Fig. 7 shows the model-experiments comparison. In the simulations, the variations in specimen diameter  $\dot{D}=D\dot{\bar{\epsilon}}_D$  and curvature radius  $R_c$  are considered for every applied stress ratio R. The R2 specimen data were used to fit the plastic and damage parameters while the predictions under the other stress ratios are plotted with the experimental data to verify the model predictability. Note that the aim is not to perfectly

match the model with the experimental data but to capture the general trends of the triaxiality effect on the plastic-damage coupling. Both elastic-plastic stress-strain response and inelastic volumetric strain are satisfactory reproduced by the model, especially considering that only the R2 specimen data were used for the model identification.

#### 4. Concluding remarks

The plastic deformation behavior of high-density polyethylene was experimentally examined under different triaxial stress states. The macroscopic stress and volumetric strain were quantified while the rate of transversal strain was controlled. The progressive cavitation damage, favored by high values of the stress triaxiality ratio, was introduced into an elastic-plastic micromechanical framework from probabilistic considerations in the form of a Weibull statistical density function. The theoretical predictions and the experimental results were found in good agreement highlighting the relevance of the constitutive representation for high-density polyethylene.

To improve correlation between theory and experiment, further investigations are needed to incorporate into the constitutive representation the elementary mechanisms operating in the crystalline lamellae.

## CRediT authorship contribution statement

Amar Mesbah: Investigation, Software, Visualization, Formal analysis. Mohamed Elmeguenni: Investigation, Formal analysis. Zhu Yan: Investigation, Formal analysis. Fahmi Zaïri: Conceptualization, Writing – review & editing, Project administration. Ning Ding: Conceptualization, Writing – review & editing, Project administration. Jean-Michel Gloaguen: Data curation.

(A3)

(A4)

(A6)

#### **Declaration of competing interest**

The authors declare that they have no known competing financial

interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Appendix A

$$\overline{T}_1 = (3P_1 + 2P_2)^2 T_1 + 2P_1 (3P_1 + 4P_2) T_2$$

$$\overline{T}_2 = 4P_2^2 T_2$$
(A1)

with

$$T_{1} = \frac{1}{15} \left( T_{11}^{(1)} + 4T_{12}^{(1)} + 4T_{21}^{(1)} + 6T_{22}^{(1)} + 2T_{11}^{(2)} - 4T_{12}^{(2)} + 2T_{22}^{(2)} \right)$$

$$T_{2} = \frac{1}{15} \left( T_{11}^{(1)} - T_{12}^{(1)} - T_{21}^{(1)} + T_{22}^{(1)} + 2T_{11}^{(2)} + 6T_{12}^{(2)} + 7T_{22}^{(2)} \right)$$
(A2)

$$\begin{split} T_{IK}^{(1)} &= -\frac{1}{3} + \frac{\varphi^{am}}{9450 \left(1 - \nu_{cry}\right)^2 \left(Z_2 + S_{II}^{(2)}\right) \left(Z_2 + S_{KK}^{(2)}\right)} \bigg[ 1575 \left(1 - 2\nu_{cry}\right)^2 \Gamma_{II} \Gamma_{KK} \\ &+ 21 \left(25\nu_{cry} - 23\right) \left(1 - 2\nu_{cry}\right) \left(\Gamma_{II} \Delta_K + \Gamma_{KK} \Delta_I\right) + 21 \left(25\nu_{cry} - 2\right) \end{split}$$

$$(1 - 2\nu_{crv})(\Gamma_{II} + \Gamma_{KK}) + 3(35\nu_{crv}^2 - 70\nu_{crv} + 36)\Delta_{IK}$$

$$+7\left(50\nu_{cry}^2-59\nu_{cry}+8\right)(\Delta_I+\Delta_K)-2\left(175\nu_{cry}^2-343\nu_{cry}+103\right)^{-1}$$

$$\begin{split} T_{IJ}^{(2)} &= \frac{1}{2} + \frac{\varphi^{am}}{6300 \left(1 - \nu_{cry}\right)^2 \left(Z_2 + S_{IJ}^{(2)}\right) \left(Z_2 + S_{IJ}^{(2)}\right)} \Bigg[ \left(70\nu_{cry}^2 - 140\nu_{cry} - 72\right) \Delta_{IJ} \\ &- \left(175\nu_{cry}^2 - 266\nu_{cry} + 75\right) \frac{\Delta_I + \Delta_J}{2} + 350\nu_{cry}^2 - 476\nu_{cry} + 164 \Bigg] \end{split}$$

$$\Delta_1 = \frac{3(1 - \alpha^4 f(\alpha^2))}{1 - \alpha^4},$$

$$\Delta_2 = \Delta_3 = \frac{1}{2}(3 - \Delta_1),$$

$$\Delta_{11} = \frac{5(2 + \alpha^4 - 3\alpha^4 f(\alpha^2))}{2(1 - \alpha^4)^2},$$

$$\Delta_{12} = \Delta_{21} = \Delta_{13} = \Delta_{31} = \frac{15\alpha^4 \left[ -3 + \left(1 + 2\alpha^4\right) f\left(\alpha^2\right) \right]}{4\left(1 - \alpha^4\right)^2},$$

$$\Delta_{22} = \Delta_{23} = \Delta_{32} = \Delta_{33} = \frac{15\alpha^4 \left[1 + 2\alpha^4 + \left(1 - 4\alpha^4\right)f(\alpha^2)\right]}{16\left(1 - \alpha^4\right)^2}$$

$$f(\alpha) = \begin{cases} \frac{\cosh^{-1}\alpha}{\alpha\sqrt{\alpha^2 - 1}} & \text{if } \alpha > 1\\ \frac{\cos^{-1}\alpha}{\alpha\sqrt{1 - \alpha^2}} & \text{if } \alpha < 1 \end{cases}$$
(A5)

$$P_1 = rac{arphi^{am}(\Lambda_1 - \Omega_1)}{[1 + 2arphi^{am}(\Omega_2 - \Lambda_2)][1 + arphi^{am}(3\Omega_1 + 2\Omega_2 - 3\Lambda_1 - 2\Lambda_2)]} \ P_2 = rac{1}{2 + 4arphi^{am}(\Omega_2 - \Lambda_2)}$$

$$\Omega_1 = \frac{1 - \varGamma_{11} - 4\varGamma_{12}}{30 \left(Z_2 + S_{12}^{(2)}\right)} - \frac{1}{15 \left(Z_2 + S_{12}^{(2)}\right)} + \frac{1 - 4\varGamma_{21} - 6\varGamma_{22}}{30 \left(Z_2 + S_{22}^{(2)}\right)}$$

$$\varOmega_2 = \frac{1 - \varGamma_{11} + \varGamma_{12}}{30 \left( Z_2 + S_{11}^{(2)} \right)} - \frac{1}{10 \left( Z_2 + S_{12}^{(2)} \right)} + \frac{7 + \varGamma_{21} - \varGamma_{22}}{60 \left( Z_2 + S_{22}^{(2)} \right)}$$

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$$\begin{split} & A_{1} = \frac{\left(S_{11}^{(1)} + 4S_{21}^{(1)} + 2S_{11}^{(2)}\right)\left(1 - \Gamma_{11} - 4\Gamma_{12}\right) + 10S_{21}^{(1)}\Gamma_{12}}{30\left(Z_{2} + S_{12}^{(2)}\right)} - \frac{2S_{12}^{(2)}}{15\left(Z_{2} + S_{12}^{(2)}\right)} \\ & + \frac{\left(3S_{22}^{(1)} + 2S_{12}^{(1)} + 3S_{22}^{(2)}\right)\left(3 - 4\Gamma_{21} - 6\Gamma_{22}\right) - 6S_{22}^{(2)} + 5S_{12}^{(1)}\Gamma_{21}}{45\left(Z_{2} + S_{22}^{(2)}\right)} \\ & A_{2} = \frac{\left(S_{11}^{(1)} - S_{21}^{(1)} + 2S_{11}^{(2)}\right)\left(1 - \Gamma_{11} + \Gamma_{12}\right)}{30\left(Z_{2} + S_{11}^{(2)}\right)} + \frac{S_{12}^{(2)}}{5\left(Z_{2} + S_{12}^{(2)}\right)} \\ & + \frac{\left(S_{22}^{(1)} - S_{12}^{(1)} + S_{22}^{(2)}\right)\left(1 + 2\Gamma_{21} - 2\Gamma_{22}\right) + 6S_{22}^{(1)}}{30\left(Z_{2} + S_{22}^{(2)}\right)} \end{split}$$

$$\Gamma_{I1} = \frac{\left(Z_{1} + Z_{2} + S_{22}^{(1)} + S_{22}^{(2)}\right)\left(Z_{1} + S_{I1}^{(1)}\right) - \left(Z_{1} + S_{I2}^{(1)}\right)\left(Z_{1} + S_{I2}^{(1)}\right)}{\left(Z_{1} + Z_{2} + S_{22}^{(1)} + S_{22}^{(2)}\right)\left(Z_{1} + 2Z_{2} + S_{11}^{(1)} + 2S_{12}^{(2)}\right) - \left(Z_{1} + S_{12}^{(1)}\right)\left(Z_{1} + S_{21}^{(1)}\right)} 
\Gamma_{I2} = \Gamma_{I3} = \frac{\left(Z_{1} + 2Z_{2} + S_{11}^{(1)} + 2S_{12}^{(2)}\right)\left(Z_{1} + S_{12}^{(1)}\right) - \left(Z_{1} + S_{12}^{(1)}\right)\left(Z_{1} + S_{12}^{(1)}\right)}{2\left(Z_{1} + Z_{2} + S_{22}^{(1)} + S_{22}^{(2)}\right)\left(Z_{1} + 2Z_{2} + S_{11}^{(1)} + 2S_{12}^{(1)}\right) - 2\left(Z_{1} + S_{12}^{(1)}\right)\left(Z_{1} + S_{22}^{(1)}\right)} \tag{A9}$$

$$Z_{1} = \frac{\lambda_{cry}\mu_{am} - \lambda_{am}\mu_{cry}}{(\mu_{am} - \mu_{cry})\left[2(\mu_{am} - \mu_{cry}) + 3(\lambda_{am} - \lambda_{cry})\right]}$$

$$Z_{2} = \frac{\mu_{cry}}{2(\mu_{am} - \mu_{cry})}$$
(A10)

$$\lambda_{cry} = \frac{E_{cry}\nu_{cry}}{(1 + \nu_{cry})(1 - 2\nu_{cry})}, \quad \mu_{cry} = \frac{E_{cry}}{2(1 + \nu_{cry})}$$

$$\lambda_{am} = \frac{E_{am}\nu_{am}}{(1 + \nu_{am})(1 - 2\nu_{am})}, \quad \mu_{am} = \frac{E_{am}}{2(1 + \nu_{am})}$$
(A11)

$$S_{11}^{(1)} = \left(4\nu_{cry} + \frac{2}{\alpha^2 - 1}\right)g(\alpha) + 4\nu_{cry} + \frac{4}{3(\alpha^2 - 1)}$$

$$S_{12}^{(1)} = S_{13}^{(1)} = \left(4\nu_{cry} + \frac{2\alpha^2 + 1}{\alpha^2 - 1}\right)g(\alpha) + 4\nu_{cry} - \frac{2\alpha^2}{\alpha^2 - 1}$$

$$S_{21}^{(1)} = S_{31}^{(1)} = \left(-2
u_{cry} - rac{2lpha^2 + 1}{lpha^2 - 1}
ight)\!g(lpha) - rac{2lpha^2}{lpha^2 - 1}$$

$$S_{22}^{(1)} = S_{23}^{(1)} = S_{32}^{(1)} = S_{33}^{(1)} = \left(-2\nu_{cry} + \frac{4\alpha^2 - 1}{4(\alpha^2 - 1)}\right)g(\alpha) + \frac{\alpha^2}{2(\alpha^2 - 1)}$$
(A12)

$$S_{11}^{(2)} = \left(-4\nu_{cry} + \frac{4\alpha^2 - 2}{\alpha^2 - 1}\right)g(\alpha) - 4\nu_{cry} + \frac{12\alpha^2 - 8}{3(\alpha^2 - 1)}$$

$$S_{12}^{(2)} = S_{13}^{(2)} = S_{21}^{(2)} = S_{31}^{(2)} = \left(-\nu_{cry} - \frac{\alpha^2 + 2}{\alpha^2 - 1}\right)g(\alpha) - 2\nu_{cry} - \frac{2}{\alpha^2 - 1}$$

$$S_{22}^{(2)} = S_{23}^{(2)} = S_{32}^{(2)} = S_{33}^{(2)} = \bigg(2\nu_{cry} - \frac{4\alpha^2 - 7}{4(\alpha^2 - 1)}\bigg)g(\alpha) + \frac{\alpha^2}{2(\alpha^2 - 1)}$$

$$g(\alpha) = \begin{cases} \frac{\alpha}{(\alpha^2 - 1)^{3/2}} \left[ \cosh^{-1}\alpha - \alpha (\alpha^2 - 1)^{1/2} \right] & \text{if } \alpha > 1\\ \frac{\alpha}{(1 - \alpha^2)^{3/2}} \left[ \alpha (1 - \alpha^2)^{1/2} - \cos^{-1}\alpha \right] & \text{if } \alpha < 1 \end{cases}$$
(A13)

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